

Court of Appeals No. 11CA1460
Arapahoe County District Court No. 10CR1316
Honorable Elizabeth Beebe Volz, Judge

The People of the State of Colorado,

Plaintiff-Appellee,

v.

Bradley Raymond Clemens,

Defendant-Appellant.

JUDGMENT REVERSED AND CASE
REMANDED WITH DIRECTIONS

Division IV
Opinion by JUDGE WEBB
Dunn, J., concurs
Bernard, J., concurs in part and dissents in part

Announced December 5, 2013

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¶ 1 Based on an altercation with the female victim and a male bystander who intervened, defendant, Bradley Raymond Clemens, was convicted of assault in the second degree (female victim) and assault in the third degree (bystander). He appeals on four grounds: (1) the trial court abused its discretion in denying three of his challenges for cause; (2) the trial court erred in referring to the prospective jurors only by number; (3) the trial court erred in denying his motion to suppress because the police entered his home without a warrant and arrested him; and (4) the trial court abused its discretion in admitting evidence of the female victim's statements that Clemens had threatened to rape her and of Clemens' question to the booking officer whether she had accused him of rape.

¶ 2 We agree with Clemens' first contention, which raises an unresolved question of law in Colorado, reverse the judgment, and remand the case for a new trial.

I. The Trial Court Abused its Discretion in Denying Clemens' Challenges for Cause to Prospective Jurors 7, 10, and 12

¶ 3 During voir dire, a prospective juror, who was later dismissed for cause, raised concerns about his ability to return a not-guilty

verdict if Clemens declined to testify. Defense counsel then asked the entire venire if others felt similarly. In response, prospective jurors 7, 10, and 12 each expressed similar concerns as to their ability to fairly weigh the evidence presented without hearing from Clemens.

Prospective juror 12 was the first to suggest “real concerns.”

[Defense Counsel]: . . . The question is the Judge says to you that the law does not require Mr. Clemens to testify. [A]re you going to find it hard to find him not guilty if you don’t hear from him and hear an explanation out of his mouth?

Prospective Juror [12]: Probably.

[Defense Counsel]: Okay. You have some real concerns about that?

Prospective Juror [12]: Uh-huh.

¶ 4 Defense counsel asked the venire if anyone else agreed.

Prospective juror 10 replied, “Yes, I just agree with them, too. I feel if you’re not going to tell your side, you have something to hide.”

Counsel asked, “Even though the Judge may instruct you that you can’t use that as [an] inference of guilt, you have real concerns you would use that as an inference of guilt?” Prospective juror 10 again answered, “Yes.”

¶ 5 Next, defense counsel asked prospective juror 7, “You have concerns that if Mr. Clemens doesn’t testify as is his right, that you would have trouble following the Judge’s instruction that you may not use his exercising of the right to remain silent, that you would use that against him?” Prospective juror 7 also replied, “Yes.”

¶ 6 Following these exchanges, the trial court explained three bedrock principles of criminal law to the entire venire: the prosecution bears the burden of proof; the defendant is not required to testify; and if the defendant chooses not to testify, that fact cannot be used in jury deliberations.¹ In response, another juror

¹ “As I instructed you earlier, in our system of justice, it is the prosecution’s burden in every case to present the evidence to prove the case. If the prosecution fails to present sufficient evidence, then you must find the defendant not guilty.

“Another very important part of our judicial system is that the defendant never has to present any evidence or testify themselves. And you cannot use th[e] fact that a defendant does not take the stand as any evidence one way or the other. [I]t’s just not a factor to be taken into consideration. . . .

“The only evidence that matters is the evidence that comes from the witness stand. And a defendant for whatever reason they want to can choose not to take the stand. . . . Anyone here who cannot follow that instruction, that if the prosecution fails to present enough evidence to prove beyond a reasonable doubt every element of the offense, is there anyone here who could not find the defendant not guilty? And if the prosecution[] failed to present

expressed reluctance to apply the law, saying that he would hold Clemens' decision not to testify against him. This juror was also dismissed for cause.

¶ 7 Defense counsel then resumed voir dire and asked the venire whether “anybody . . . still feels that if you don’t hear from Mr. Clemens that would be a problem for you reaching a verdict of not guilty in this case.” Two other jurors, who also were both dismissed for cause later, expressed concerns about their ability to fairly weigh the evidence without hearing from Clemens. Again, defense counsel turned to the venire and asked if “anybody else ha[d] anything more to say” on the matter. But this time, the venire was silent.

¶ 8 At the conclusion of voir dire, defense counsel challenged prospective jurors 7, 10, and 12 for cause based on their earlier statements. The court denied each challenge. As to prospective juror 12, the court explained:

I think 12 didn’t—I did not see after my admonition to the jury about the question regarding the defendant not

enough evidence, would the fact that the defendant may not testify make you change your mind and say now I’m going to find him guilty even though there wasn’t evidence to prove the case; anyone who would do that?”

having to testify. I don't think that 12 gave this strong indication . . . that she could not follow the instructions [of] the court, unlike [the other prospective jurors who were dismissed for cause], continued to maintain that position even after my admonition.

As to prospective jurors 7 and 10, the trial court added:

My recollection is that after I gave the instruction about not speculating and how that is an important part of our judicial system, one juror particularly noted that that helped explain it. And when I asked the rest of the panel if any of them could not follow that, there were no others that indicated [they could not] except for [a prospective juror dismissed for cause].

Defense counsel removed prospective jurors 7, 10, and 12 with peremptory challenges and exhausted all of his remaining challenges.

A. Standard of Review

¶ 9 Because of the trial court's superior position to assess a prospective juror's demeanor and credibility, *People v. Young*, 16 P.3d 821, 824 (Colo. 2001), challenges for cause are reviewed for abuse of discretion, *Medina v. People*, 114 P.3d 845, 856 (Colo. 2005). This standard is highly deferential. *Carrillo v. People*, 974 P.2d 478, 485-86 (Colo. 1999).

¶ 10 But appellate courts must not "abdicate their responsibility to ensure that the requirements of fairness are fulfilled." *Morgan v.*

People, 624 P.2d 1331, 1332 (Colo. 1981). Thus, although we must affirm if “the record contains a general statement by a juror that, despite any preconceived bias, he or she could follow the law and rely on the evidence at trial,” *People v. Phillips*, 219 P.3d 798, 802 (Colo. App. 2009), we will reverse if a prospective juror’s statements “compel the inference that he or she cannot decide crucial issues fairly and there is no rehabilitative questioning or other counterbalancing information,” *People v. LePage*, ___ P.3d ___, ___, 2011 WL 544019 (Colo. App. No. 09CA0676, Feb. 17, 2011) (internal quotation marks omitted), *cert. granted on other grounds*, No. 11SC235, 2011 WL 4015578 (Colo. Sept. 12, 2011).

B. Law

¶ 11 Many Colorado cases have addressed challenges for cause to prospective jurors who, as here, expressed a need to hear “both sides” before rendering a verdict. *See, e.g., Morgan*, 624 P.2d at 1332 (holding that trial court erred in denying challenge for cause to juror who, following the court’s attempt to rehabilitate him, stated that he “could go along with it” but would “find it hard not hearing both sides of it” and he could not “picture one side of a trial”); *LePage*, ___ P.3d at ___ (holding that trial court did not err in

denying challenge for cause when the juror, who previously indicated a need to hear from the defendant, was rehabilitated); *People v. Blackmer*, 888 P.2d 343, 344-45 (Colo. App. 1994) (holding that when jurors communicate “difficulty applying the principles of law unless [they] hear[] the defendant testify at trial,” they should be dismissed for cause absent rehabilitation).

C. Application

¶ 12 The initial statements by prospective jurors 7, 10, and 12 established sufficient grounds to challenge them for cause. Unless they were rehabilitated, the trial court abused its discretion in denying the challenges for cause.

¶ 13 In *People v. Hancock*, 220 P.3d 1015, 1019 (Colo. App. 2009), the division held that the trial court had abused its discretion in denying a challenge for cause to a juror who had made a disqualifying statement because the record showed neither successful rehabilitative questioning nor counter-balancing information. The division explained that a trial court should do one of three things when faced with a juror who indicates an unwillingness to apply the law: (1) dismiss the juror for cause; (2) follow-up with “effective rehabilitative questioning prior to denying

the challenge for cause”; or (3) make a credibility finding sufficient to explain why the “statements of doubt in [the juror’s] willingness or ability to follow the law should be disregarded.” *Id.* at 1019-20.

¶ 14 Here, the trial court attempted to satisfy the second requirement by responding to the jurors’ statements with a lengthy admonishment and then asking the venire whether it could apply the law as explained. Clemens does not dispute the adequacy of the admonition, nor does the record afford any basis for doing so.

¶ 15 But this leaves unanswered the question whether these prospective jurors’ silence, despite repeated questions to the venire, constituted sufficient rehabilitation. Because no Colorado case has addressed whether such silence is sufficient rehabilitation, we consider evidentiary principles, cases from other jurisdictions dealing with rehabilitation based on silence, and Colorado cases on rehabilitation of prospective jurors. We conclude that, where a prospective juror has taken a position supporting a challenge for cause, that juror’s silence following a question or questions to the entire panel does not constitute sufficient rehabilitation.

¶ 16 In certain circumstances, silence may be evidence of an affirmative response.² But the silence of a prospective juror who has made a problematic statement raises two concerns.

¶ 17 First, “[s]ome prospective jurors undoubtedly find voir dire intimidating,” *United States v. Hill*, 552 F.3d 541, 548 (7th Cir. 2008), and thus, they may be more reluctant to volunteer a response when questions have been posed to the venire than when questioned individually. Second, a prospective juror’s silence affords the trial court very little, if any, basis on which to make a credibility determination between the juror’s earlier statement and later lack of response to a rehabilitative question. *See, e.g., People*

² For instance, while

silence generally is thought to lack probative value on the question of whether a person has expressed tacit agreement or disagreement with contemporaneous statements of others[,] [i]n some situations, . . . where the normal reaction is to speak out in response to a statement, silence may have some probative value.

People v. Quintana, 665 P.2d 605, 610 (Colo. 1983) (citation and internal quotation marks omitted). For that reason, courts allow evidence of defendants’ failure to deny accusations made against them when they can hear them and could deny them without emotional or physical impediment. *Id.* And they allow evidence of victims’ failure to timely report an alleged sexual assault in certain circumstances. *Id.* at 610 n.6.

v. Banks, 2012 COA 157, ¶ 110 (holding trial court within its discretion to determine “the juror’s [verbal] assurance of fairness outweighed his statements of previous doubt[s].”); *People v. Richardson*, 58 P.3d 1039, 1042 (Colo. App. 2002) (in resolving challenges for cause, trial courts must “assess . . . the sincerity of potential juror’s responses, including statements that linguistically may appear to be inconsistent”).

¶ 18 For these reasons, we turn to the few other jurisdictions that have decided the question. But their answers to the question are split.

1. Yes, Silence Can Rehabilitate A Prospective Juror Who Previously Indicated an Unwillingness to Follow the Law

¶ 19 A panel of the Ninth Circuit has held that even if a juror had previously indicated an unwillingness to follow the law, “[i]n the context of the voir dire, [that juror’s] failure to respond [to a question posed to the entire venire] can be construed as a commitment by [the juror] to follow the law.” *United States v. Martinez-Martinez*, 369 F.3d 1076, 1082 (9th Cir. 2004). The court explained that the highly deferential standard of review applied to challenge for cause rulings on appeal requires appellate courts to

afford “great weight” to a trial court’s assessment of a juror’s silent response to a question posed to the venire. *Id.*

¶ 20 Similarly, the Missouri Court of Appeals has repeatedly held that “[a] venireperson’s silence may constitute an unequivocal assurance of impartiality sufficient for the purpose of rehabilitation.” *State v. Garrison*, 276 S.W.3d 372, 377 (Mo. Ct. App. 2009); *see also Edgar v. State*, 145 S.W.3d 458 (Mo. Ct. App. 2004); *State v. Clark*, 55 S.W.3d 398 (Mo. Ct. App. 2001); *State v. Bebermeyer*, 743 S.W.2d 516 (Mo. Ct. App. 1987). As the panel in *Bebermeyer* explained,

[i]f the jurors had been asked individually the questions the court asked them as a group and the jurors individually had given the same answers that the entire panel made, we would not have found an abuse of discretion in the court’s failure to sustain defendant’s objections for cause. Having made that determination, what is the effect of the manner in which the court asked the questions and received the responses?

743 S.W.2d at 520.³

³ Other courts have also considered jurors’ silence, as a negative response, but only where failure to disclose information would have suggested bias after the panel was asked questions “reasonably calculated” to reveal such bias. *E.g.*, *State v. Akins*, 867 S.W.2d 350, 355-56 (Tenn. Crim. App. 1993).

2. No, Silence Cannot Rehabilitate A Prospective Juror Who Previously Indicated an Unwillingness to Follow the Law

¶ 21 The District of Columbia, Florida, New York, and Texas have each concluded that jurors' silence in the face of a question posed to the venire is insufficient to rehabilitate previous problematic statements.

¶ 22 The D.C. Court of Appeals held that when prospective jurors indicated their potential bias, their silence in response to questions posed to the venire was insufficient to rehabilitate them. *Doret v. United States*, 765 A.2d 47, 56 (D.C. 2000). While recognizing that “trial judges often operate under enormous pressures to cope with very substantial caseloads, frequently finding themselves on ‘circuit overload,’ and thus, pressed to move trials along,” the court concluded that such considerations do not overcome a defendant’s Sixth Amendment right to an impartial jury and fair trial. *Id.* To safeguard this right when faced with prospective juror bias, “the trial judge has an obligation to probe further, and to elicit more than a nod of the head or a simple ‘yes’ or ‘no’ response, to ensure their impartiality and fairness as jurors.” *Id.*

¶ 23 Arriving at the same conclusion, the Louisiana Court of Appeals held that posing five questions to prospective jurors regarding their impartiality and inviting them to raise their hands to indicate an inability to apply the law as given are insufficient as “a method of rehabilitation . . . to rebut a juror’s unambiguous expression of partiality resulting from an individual questioning.” *State v. Lewis*, 724 So. 2d 830, 834 (La. Ct. App. 1998). Instead, when a defendant identifies any partiality of prospective jurors, including “statements that they could not accept the law as given if the defendant failed to take the stand on his behalf,” *id.* at 833, rehabilitation must occur “by obtaining [the prospective jurors] unequivocal and affirmative expressions that they could overcome their initial feelings such as to apply the law and evidence,” *id.* at 834.

¶ 24 Likewise, New York’s highest court held that “the collective acknowledgment by the entire jury panel that they would follow the Judge’s instructions . . . was insufficient to constitute an unequivocal declaration of impartiality from [the prospective juror].” *People v. Arnold*, 753 N.E.2d 846, 851-52 (N.Y. 2001). Rather, “nothing less than a personal, unequivocal assurance of impartiality

can cure a juror’s prior indication that she is predisposed against a particular defendant or particular type of case.” *Id.* at 852.

¶ 25 For the following four reasons, the latter approach is both better reasoned and more consistent with Colorado law.

¶ 26 First, “trial by jury in criminal cases is fundamental to the American scheme of justice.” *Duncan v. Louisiana*, 391 U.S. 145, 149 (1968). And as “a basic requirement of due process,” “the right to jury trial guarantees to the criminally accused a fair trial by a panel of impartial, indifferent jurors.” *Neb. Press Ass’n v. Stuart*, 427 U.S. 539, 551 (1976) (internal quotation marks omitted); see also *People v. Rodriguez*, 914 P.2d 230, 260 (Colo. 1996) (“A criminal defendant has a fundamental right to trial before an impartial jury.”). But without a guaranty of impartiality, a defendant is deprived of this fundamental right, in violation of both the United States and the Colorado Constitutions. See, e.g., *People v. Lefebre*, 5 P.3d 295, 300 (Colo. 2000) (“A defendant has a constitutional right to a fair and impartial jury.” (citing U.S. Const. amend. VI; Colo. Const. art. II, § 16)). Mere silence does not sufficiently protect such a right.

¶ 27 Second, in *People v. Sandoval*, 706 P.2d 802, 804 (Colo. App. 1985), the division held that rehabilitation requires nothing less than a “clear[] retreat” from previous statements indicating bias or an unwillingness to apply the law. And in *People v. Wilson*, 114 P.3d 19, 23 (Colo. App. 2004), the division required “a statement from the prospective juror that he could render or would try to render an impartial verdict according to the law and evidence, that he would apply the facts to the law and follow the instructions of the court, or that he would presume defendant innocent until proved guilty.” But silence does not constitute either a “clear retreat” or “a statement” indicating a willingness to follow the law.

¶ 28 Third, as explained by the New York Court of Appeals, adequate rehabilitation requires prospective jurors to “address [their] personal attitudes” and “to confront the crucial question whether [they] could be fair to [the] defendant in light of [their] expressed predisposition[s].” *Arnold*, 753 N.E.2d at 852. But silence in the face of group questions does not force prospective jurors to engage in this type of critical self-reflection.

¶ 29 Fourth, a categorical rule requiring an affirmative response from prospective jurors who have made problematic statements

recognizes the trial courts' unique opportunity to assess juror demeanor. *See, e.g., Young*, 16 P.3d at 825-26 (“[O]nly the trial court can assess accurately the juror’s intent from the juror’s tone of voice, facial expressions, and general demeanor.”). But absent individual questioning of prospective jurors who have made disqualifying statements, for a trial court to make the credibility findings that are crucial to appellate review on the prospective jurors’ silence alone would be, at best, very difficult. *See Hancock*, 220 P.3d at 1016 (“[D]enials of challenges for cause have been reversed where prospective jurors have made statements demonstrating bias and there are no other statements in the record that would permit the reviewing court to affirm based on deference to the trial court’s assessment of unclear or ambiguous responses.”).

¶ 30 Accordingly, because the statements of prospective jurors 7, 10, and 12 indicating unwillingness to follow the law were not overcome by their responses to individual questioning, we conclude that the trial court abused its discretion in denying Clemens’

challenges for cause. Therefore, the judgment must be reversed and the case is remanded for a new trial.⁴

¶ 31 In so holding, we do not diminish the value of questions posed to the entire venire, where no prospective juror has already indicated unwillingness or inability to return an impartial verdict. See *People v. Pena-Rodriguez*, 2012 COA 193, ¶¶ 17-18 (“[N]o venire member responded when asked about having feelings ‘for or against the defendant.’”), *cert. granted*, No.13SC9 (Colo. Aug. 19, 2013); see also *Bryant v. Brady*, 427 S.W.2d 179, 180 (Ark. 1968) (“[W]here other jurors understood the questions and responded [disclosing their relationship with the party], we must accept the jurors’ silence as a responsive answer to the court’s questions.”).⁵

⁴ This result is dictated by *People v. Macrander*, 828 P.2d 234 (Colo. 1992), which the supreme court is revisiting. See *People v. Novotny*, 2011 WL 484366 (Colo. No. 10SC377, Jan. 31, 2011). We decline to address Clemens’ contention that the trial court erred in referring to the prospective jurors only by number because it is unlikely to recur on retrial. See *Perez v. People*, 2013 CO 22.

⁵ Courts’ analyses of questions posed to the entire venire regarding pretrial publicity illustrate the utility and limitations of such questions. In cases involving extensive pretrial publicity, “a general question [whether they have been biased by the media] directed to the entire group of prospective jurors is inadequate.” *United States v. Giese*, 597 F.2d 1170, 1183 (9th Cir. 1979). But “in cases of less publicity . . . [s]everal general questions addressed to the entire

II. The Warrantless Entry into Clemens' House Was Lawful, Because the Police Officers Reasonably Believed that the Female Victim Had Apparent Authority to Consent

¶ 32 Although we reverse the judgment on challenge for cause grounds, we address Clemens' contention that the trial court erred in denying his motion to suppress because the suppression ruling could affect the retrial.

¶ 33 When the police arrived at the scene, they found three male bystanders and the female victim, bloodied around the mouth and appearing intoxicated. One of the bystanders had attempted to intervene when he saw a male holding a woman down, striking her with what he said looked like a broken golf club. When he sought to intervene, the perpetrator struck him with the golf club. One of the other bystanders kicked the perpetrator in the head, and the man ran off. The third male bystander heard the shouts for help and called 911.

panel of jurors, followed by individual questioning of jurors who respond affirmatively to the initial inquiries, may be sufficient if it becomes clear that few jurors have any knowledge of the case.” *Id.* A juror’s previous indication of an unwillingness to follow the law is like the probable bias arising from exposure to extensive pretrial publicity. In such circumstances, individualized questioning “may be the only means of assuring a defendant his right to an impartial jury.” *United States v. Dankser*, 537 F.2d 40, 56 (3d Cir. 1976).

¶ 34 While the eyewitnesses told the responding officers that the perpetrator fled the scene into one house, the female victim told them that she lived with Clemens at a different address in the same block. The officers did not obtain a warrant, but immediately and forcefully entered Clemens' house, which the female victim had correctly identified, and arrested him.

A. Standard of Review

¶ 35 “A ruling on a motion to suppress requires the trial court to make findings of historical fact and apply controlling legal standards to the established facts.” *People v. Nelson*, 2012 COA 37, ¶ 14. “In reviewing a trial court’s denial of a motion to suppress, we defer to that court’s factual findings and reverse only where its conclusions are unsupported by its evidentiary findings or where it applied an erroneous legal standard.” *People v. Trusty*, 53 P.3d 668, 672 (Colo. App. 2001). We review the trial court’s ultimate legal conclusions de novo. *People v. Prescott*, 205 P.3d 416, 419 (Colo. App. 2008).

B. Law

¶ 36 A warrantless entry into a home is presumptively unconstitutional unless an exception to the warrant requirement

applies. *People v. Strimple*, 2012 CO 1, ¶ 20. One such exception is when officers receive valid consent to enter by one with “common authority” over the premises. *People v. Sanders*, 904 P.2d 1311, 1313 (Colo. 1995). But absent actual common authority, a warrantless entry may be constitutional if the person who gave consent reasonably appeared to have such authority. *Petersen v. People*, 939 P.2d 824, 830 (Colo. 1997).

¶ 37 When applying the apparent authority doctrine, the key question is “whether a police officer’s belief that a third party had the authority to consent to a search is objectively reasonable.” *People v. McKinstrey*, 852 P.2d 467, 472 (Colo. 1993). In ambiguous circumstances, police officers must make “reasonable inquiries” into the third party’s authority. *Id.* at 473.

C. Application

¶ 38 The following evidence admitted at the suppression hearing supports the trial court’s finding that the officers reasonably believed the female victim had authority to consent to the entry:

- She was found unclothed in the street in front of the house, in the middle of the night, suggesting a connection with the house;

- She explained that her keys, an indicator of common authority, were inside the house, along with her clothing;
- She told the officers that she lived in the house with Clemens; and
- She granted them permission to forcibly enter the house, if necessary, which would be unlikely from a person without any authority over the premises.

¶ 39 In Clemens’ view, however, this evidence presented “at best, ambiguous circumstances.” He argues that the officers should have made additional inquiries into her authority because they received inconsistent statements about Clemens’ address. But under the circumstances of an active crime scene involving multiple assaults and victims, this inconsistency does not create sufficient ambiguity to hold that the trial court abused its discretion in finding the officers’ belief to have been reasonable.

¶ 40 Accordingly, we conclude that under the apparent authority doctrine, the officers properly entered Clemens’ house without a warrant.⁶

⁶ Because of this conclusion, we need not address the exigent circumstances exceptions to the warrant requirement.

III. Remaining Evidentiary Contentions

¶ 41 We decline to address Clemens' evidentiary contentions because how they may arise on retrial is indeterminable.

IV. Conclusion

¶ 42 The judgment of conviction is reversed and the case is remanded for a new trial consistent with this opinion.

JUDGE DUNN concurs.

JUDGE BERNARD concurs in part and dissents in part.

JUDGE BERNARD concurring in part and dissenting in part.

¶ 43 I respectfully dissent from the majority’s conclusion in section I. I concur with the rest of the opinion.

¶ 44 During its introductory remarks, the trial court stated, as is pertinent here, that “the defendant is never compelled to testify, and the fact that he does not cannot be used against him or as an inference of guilt and should not prejudice him in any way.”

¶ 45 During her voir dire, defense counsel asked the prospective jurors whether, despite this instruction, they would have “real concerns as to whether . . . you can find [defendant] not guilty” if he did not testify. Jurors 7, 10, and 12 all responded indicating that they harbored such concerns.

¶ 46 The responses of these three jurors obviously raised the question whether they would follow the pertinent law during the trial and during their deliberations. But I would conclude, for the following reasons, that the trial court did not abuse its discretion when it denied defendant’s challenges for cause concerning them. *See People v. Merrow*, 181 P.3d 319, 321 (Colo. App. 2007) (“[W]hen, as here, a potential juror’s statements compel the inference that he or she cannot decide crucial issues fairly, a challenge for cause

must be granted in the absence of rehabilitative questioning or other counter-balancing information.”).

I. The Court Instructed All Jurors to Volunteer Relevant Information During Voir Dire, and the Jurors in This Case Did So Repeatedly

¶ 47 The trial court told the jurors in its opening remarks that “it is very important that you listen to the questions asked and that you answer them to the best of your ability.” The court added that, when it asked questions, “I may ask a very general open-ended question and ask all those that it applies [to] to raise their hand[s]. And then you may . . . answer it.”

¶ 48 The court repeated this direction a short time later when it stated, “I’m going to ask some questions . . . of you as a whole. And if . . . you have an answer to . . . these questions, please just raise your hand.”

¶ 49 By my count, the prospective jurors, some of them more than once, followed this procedure almost seventy times during the court’s initial questioning *before* the attorneys began their own inquiries. As a result, I would conclude that each prospective juror clearly understood, from the beginning of voir dire, that he or she

should volunteer a response when the court asked a pertinent question.

¶ 50 The pattern of jurors volunteering information continued during defense counsel’s questions. She ultimately questioned fifteen prospective jurors about whether they had “concerns” if defendant did not testify. Although the record is not entirely clear because it does not indicate all the jurors who may have raised their hands in response to defense counsel’s questions, many jurors volunteered their responses. It appears to me that jurors 7, 10, and 12 were among these volunteers.

II. After Jurors 7, 10, and 12, Among Others, Expressed Their Concerns, the Trial Court Instructed the Prospective Jurors That They Could Not Use Defendant’s Failure to Testify Against Him

¶ 51 After the first twelve jurors spoke with defense counsel about this issue, including jurors 7, 10, and 12, the court instructed the jury that a “very important part of our judicial system is that the [defendants] never ha[ve] to present any evidence or testify themselves. And you cannot use th[e] fact that a defendant does not take the stand as any evidence one way or the other.” The court added:

- “The only evidence that matters is the evidence that comes

from the witness stand”;

- “[A] defendant for whatever reason . . . can choose not to take the stand”;
- “If a defendant does not take the stand, that is the defendant’s decision”; and
- “[T]he jury is instructed that [it] cannot guess [what] that reason is.”

III. The Court and Defense Counsel Asked Narrow and Focused Questions That Would Prompt Reasonable Jurors to Volunteer a Response If They Still Had Concerns About Finding Defendant Not Guilty If He Did Not Testify

¶ 52 After instructing the jury about the pertinent law, the court asked the jurors two questions. Was there “anyone” who would convict defendant despite the court’s instruction that, if the jury found that the prosecution did not prove all the elements of the offense beyond a reasonable doubt, then the jury was required to acquit him? Was there “anyone” who would find defendant guilty “because he didn’t testify even though there wasn’t evidence to prove the case?”

¶ 53 One juror, number 25, volunteered a response to these questions. He stated that, if defendant did not testify, then

defendant must have “something to hide . . . [a]nd to me that’s guilty.” The court excused this juror for cause.

¶ 54 Defense counsel then asked the prospective jurors a similarly focused question. “[I]s there anyone back here, some hands were raised before, who [has heard] . . . what the [j]udge had to say, [and who] *still feels* that if you don’t hear from [defendant] that would be a problem for you reaching a verdict of not guilty in this case?” (Emphasis added.)

¶ 55 Three jurors responded. One stated that if “I don’t hear [defendant’s] story, I could not” acquit him. A second agreed with that statement. But a third replied, “I was glad to have the added information about how to approach it.” Jurors 7, 10, and 12 did *not* respond.

¶ 56 Jurors 7, 10, and 12 were obviously included in the word “anyone,” which was contained in the court’s and defense counsel’s questions. And defense counsel’s question further inquired whether, *after* listening to the court’s instruction, any juror *still* felt that the juror’s ability to acquit defendant would be impaired if he did not testify.

¶ 57 I would conclude that the direct and focused phrasing of the court's and defense counsel's questions indicates that the prospective jurors would only have responded affirmatively if they would not follow the court's instructions. In this context, silence means to me that jurors 7, 10, and 12, would have followed the court's instructions.

¶ 58 Their silence in the face of the court's and defense counsel's questions further convinces me that jurors 7, 10, and 12 were following the rules of voir dire that the court had laid out in its opening remarks, and which had been followed repeatedly during voir dire. We know that other jurors volunteered answers to the court's and defense counsel's questions, and the record does not suggest that Jurors 7, 10, and 12 had difficulty speaking up.

¶ 59 In my view, reasonable jurors in these circumstances would only have answered these questions verbally if they still harbored concerns about the prospect that defendant might not testify. *See State v. Akins*, 867 S.W.2d 350, 355-56 and n.13 (Tenn. Crim. App. 1993) ("Silence on the juror's part when asked a question reasonably calculated to produce an answer is tantamount to a negative answer. . . . The test is whether a reasonable, impartial

person would have believed the question, as asked, called for a juror response under the circumstances.”).

IV. Opinions from Other Jurisdictions That Engage in Similar Analysis Support the Conclusion that I Would Reach

¶ 60 The facts of this case are much like those in *United States v. Martinez-Martinez*, 369 F.3d 1076, 1082-83 (9th Cir. 2004). There, a juror raised his hand to express discomfort with a legal provision that an attorney had explained. But the Court of Appeals concluded that he had been rehabilitated, even though he was silent when the prosecutor asked the jurors the following question: Was there “anybody in here who would not be able to put aside [his or her] daily experiences . . . and apply the facts that you will hear from the witnesses . . . to the law that [the trial judge] will provide to you at the end of this trial?”

¶ 61 Similarly, *State v. Garrison*, 276 S.W. 3d 372, 377 (Mo. Ct. App. 2009), held that “[a] venireperson’s silence may constitute an unequivocal assurance of impartiality sufficient for the purpose of rehabilitation.” Defense counsel there asked the prospective jurors whether they would be “concerned” if defendant did not appear at his trial. Several answered that they might be concerned.

¶ 62 The prosecutor in *Garrison* asked the jury a series of questions about a defendant’s right not to be present in court. These questions included vocabulary that was similar to the words the trial court and defense counsel used here. There were inquires such as: (1) “does everyone . . . understand” that the defendant could choose to exercise his right not to attend the trial; (2) “do you understand” that his presence or absence was not evidence; (3) “is there anyone here” who did not understand that concept; and (4) “is there anyone here” who could not put aside the defendant’s potential absence in evaluating the testimony and evidence that would be submitted? There was no response to any of these questions, and the prosecutor noted that he saw “no hands.”

V. Colorado Law Supports the Conclusion That I Would Reach

¶ 63 It is fair to say in this case that jurors 7, 10, and 12 “displayed a preconceived opinion that defendants in general should testify in their own defense.” *People v. Vechiarelli-McLaughlin*, 984 P.2d 72, 76 (Colo. 1999). But a juror’s “equivocation and ambivalence” about that issue do not necessarily support a challenge for cause. *Id.*

¶ 64 It is one thing if a juror “display[s] persistent doubts” about whether the juror can be “fair in the absence of testimony from the defendant.” *Id.* It is another thing if the “examination” of jurors or “other evidence” satisfies the trial court that the juror will “render an impartial verdict according to the law and the evidence submitted to the jury at trial.” *Id.* at 75 (quoting § 16-10-103(j), C.R.S. 2013).

¶ 65 We do not have the trial court’s perspective on prospective jurors. We do not know whether jurors 7, 10, and 12 were hesitant or emphatic when they expressed their concerns. Unlike the trial court, we do not know what the demeanor of jurors 7, 10, and 12 was when they expressed those concerns, or when the court instructed them, or when the court and defense counsel asked them the three questions, or when the other jurors supplied answers to those questions. *See Carrillo v. People*, 974 P.2d 478, 486 (Colo. 1999) (The abuse of discretion standard “recognizes the trial court’s unique role and perspective in evaluating the demeanor and body language of live witnesses, and it serves to discourage an appellate court from second-guessing those judgments based on a cold record.”).

¶ 66 The expression of the “preconceived opinion” by Jurors 7, 10, and 12 that defendant should testify was comparatively brief. I would not characterize their statements as “persistent.” See *Vechiarelli-McLaughlin*, 984 P.2d at 76. And there is no indication in the record that they “persisted” in their preconceived opinions “despite efforts by the court to rehabilitate them.” See *id.*

¶ 67 I would conclude, under the circumstances here, that the silence of jurors 7, 10, and 12 in response to the court’s and defense counsel’s questions was an assurance that they would follow the court’s instructions. See *People v. Drake*, 748 P.2d 1237, 1243 (Colo. 1988) (A trial court may consider “a prospective juror’s assurance that he or she can fairly and impartially serve on the case.”). I would not, as a result, “overturn the trial court’s resolution of [the] challenge[s] for cause” in this case because I would conclude that the record contains a “basis for supporting” the trial court’s decisions. *People v. Harlan*, 8 P.3d 448, 462 (Colo. 2000), *overruled on other grounds by People v. Miller*, 113 P.3d 743 (Colo. 2005).